

**A METHOD TO QUANTIFY SEVERAL TYROSINE KINASE INHIBITORS IN PLASMA
BY MICELLAR LIQUID CHROMATOGRAPHY AND VALIDATION ACCORDING TO
THE EUROPEAN MEDICINES AGENCY GUIDELINES**

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27 Abstract

28 A procedure based on micellar liquid chromatography has been developed to monitor five
29 tyrosine kinase inhibitors in plasma, prescribed against several kinds of cancer: erlotinib, imatinib,
30 sunitinib, sorafenib and lapatinib. The sample was diluted in a micellar solution and directly
31 injected, thus clean-up steps were not required. The analytes were resolved without interferences in
32 < 20 min using a C18 column and a mobile phase of 0.13 M SDS - 4 % 1-butanol, buffered at pH
33 3.5, running under isocratic mode at 1 mL/min. The detection was performed by UV-Visible
34 absorbance, using a wavelength program to maximize the signal-to-noise ratio. The method was
35 validated following the guideline of the European Medicines Agency in terms of: selectivity,
36 calibration range (0.05 - 5 µg/mol), linearity ($r^2 > 0.990$), limit of detection (15 - 35 ng/mL), carry-
37 over effect, accuracy (-10.4 to +11.0%), precision (< 9.2%), matrix effect, robustness (< 8.4%) and
38 stability. The procedure is rapid, easy-to-handle, uses a low amount of toxic chemical provide
39 reliable results. Finally, the method was successfully used to analyze the studied tyrosine kinase
40 inhibitors in plasma from cancer patients.

41 *Keywords:* Direct injection; Micellar; Plasma; Tyrosine kinase inhibitors; Validation

42 1. Introduction

43
44 Tyrosine kinase receptors are proteins playing an important role in the transduction of the
45 signals involved in growth and differentiation of cells. However, they are protooncogenes, because
46 an increased activity provokes cell proliferation and stimulates formation of tumors [1]. Selective
47 tyrosine kinase inhibitors (TKIs) have been developed to repress the activity of overexpressed
48 tyrosine kinase membrane proteins by blocking its specific receptors or activity cores, in order to
49 prevent cell growing and induce apoptosis. Besides, they are highly specific and may not affect
50 normal cells. Therefore, these drugs have been prescribed for targeted therapy and hard improved
51 progression free survival (PFS) and overall survival (OS) on the treatment of many cancer diseases
52 [2]. Additionally, they notably increase the response rate.

53 Imatinib was the first approved TKI. It shows a strong activity against chronic myeloid
54 leukemia (CML) and gastrointestinal stromal tumors (GIST) [3]. During the last years, other TKIs
55 have been developed, as lapatinib, to treat solid tumors such as breast and lung cancer [4], erlotinib
56 for lung and pancreatic cancer, among others [5], sorafenib for kidney, liver [6], and radioiodine-
57 resistant thyroid (DTC) cancer [7], and sunitinib for renal cell carcinoma (RCC), imatinib-resistant
58 GIST, and ovarian cancer (OC) [8,9] (The structures and characteristics of these drugs are described
59 in Figure 1 and in Table 1 [9-13]). These TKIs are orally administered and must be taken during a
60 long period, even lifelong [14]. TKI-based treatments have shown a high degree of success, they are
61 generally well-tolerated and they cause weak adverse effects. Despite their excellent performances,
62 some episodes of therapy failure, adverse effect or weak clinical effects have been reported [15].

63 Several studies have proven that predictive response to treatment is related to plasmatic
64 concentration, rather than the ingested dose [16]. The therapeutic effects of the TKIs would be
65 maximized if the plasmatic concentration remains in the therapeutic range, which is relatively
66 narrow, throughout the whole treatment. Lower levels lead to treatment failure and stimulation of
67 tumor resistance, whereas an excessive concentration can enhance the adverse effects. Both cases
68 finally lead to an early interruption of the therapy [17]. However, it was observed that the same
69 dosage of the studied TKIs produces different plasmatic concentrations, for different patients and
70 even in the same patient at different stages of the treatment [18].

71 The plasmatic concentration of the TKIs is related to pharmacodynamics: bioavailability
72 (absorption from the gastrointestinal system), metabolization (mainly in the liver through the
73 enzyme cytochrome P450 3A4 pathway), and removal ways; and pharmacokinetics: drug-drug
74 interactions, drug affinity constant and tumor cell resistance, besides to the ingested dose.
75 Moreover, the TKIs are extensively bonded to plasma proteins, and less than 5 % are free to exert
76 the pharmacological activity. Different studies have demonstrated that these factors depend on
77 many characteristics (genetics, physiology, organ function, general health, diet, toxic habits,
78 metabolism, adherence, time following the therapy and co-administration of other drugs), which

79 show high inter-individual differences, and even vary for the same patient over time. This explains
80 the strong inter- and inpatient variability of the plasmatic concentration of the TKIs [2,15,18,19].

81 Clinicians should personalize the dose, by prescribing to each patient the dose required to
82 ensure his TKI-plasmatic concentration would remain in the therapeutic range, instead of setting a
83 standard one, in order to improve the success of the therapy and limit the undesirable effects
84 [16,18]. For this reason, the relationship between the ingested and plasmatic dose must be
85 established for each patient at the beginning and along the therapy. This can be performed by means
86 of therapeutic drug monitoring (TDM), which consists in quantification of drugs in plasma at
87 several times after ingestion of drug formulation. TDM is useful for drugs showing narrow
88 therapeutic range and highly variable biological activity, such as TKIs. It is also especially
89 recommended for patients who develop an uncommon response. Plasmatic concentration at a
90 specific moment would be also used to explain and predict the therapeutic and adverse effects, as
91 well as to determine the adherence to the treatment [16-18]. Therefore, clinical laboratories are
92 demanding practical and reliable analytical methods to quantify the most representative TKIs, such
93 as erlotinib, imatinib, sunitinib, sorafenib and lapatinib in plasma.

94 Liquid chromatography coupled to mass spectrometry has been the technique of choice for
95 the simultaneous analysis of these five TKIs in plasma for TDM purposes [20-23]. Likewise, ultra-
96 high performance liquid chromatography (UPLC) has been coupled to MS for the simultaneous
97 detection of these compounds [24]. However, mass spectrometry is an expensive instrumentation
98 which requires a careful maintenance and a high throughput to be cost effective. Therefore, few
99 clinical laboratories can afford it and external analyses at a high price are paid. Therefore, several
100 approaches based on HPLC-UV have been developed for the individual determination of imatinib
101 [25], erlotinib [26], lapatinib [27], sorafenib [28] and sunitinib [29] in plasma. UPLC-UV has also
102 been used to detect imatinib [30] and erlotinib [31] in pharmaceutical formulations, and sunitinib
103 in plasma [32]. However, at our knowledge, no method dealing with the simultaneous analysis of
104 these five TKIs using UV-detection has been previously published. Although their different

105 performances, MS and UV detection provide similar results for TKI analysis in plasma [33,34].
106 These procedures use mobile phases containing a large amount of toxic and flammable organic
107 solvent, that results in a risk for the laboratory staff and the environment. Another disadvantage of
108 multi-TKI detection HPLC-methods is the need for mobile phases programmed as gradient,
109 difficulting the analysis of a large amount of samples [20-24,30,32].

110 Analysis of plasma by HPLC has an important drawback due to the presence of a large
111 amount of proteins and other macromolecules non-soluble in water, which must be removed prior
112 injection to avoid their precipitation in the chromatographic system and the deterioration of the
113 column. Therefore, tedious and time consuming clean up steps, which use large amounts of organic
114 solvents, are required to separate TKIs from the matrix. The most popular is protein precipitation
115 [20-23,25,27,28,34] although solid phase [24,29,32] and liquid/liquid [26,33] extraction have also
116 been used. Besides, these sample pretreatment frequently lead to a variable recovery, and then an
117 internal standard is usually added [20-24,26-28,33,34]. In order to automatize the analysis of TKIs
118 in plasma, several authors have introduced an on-line extraction step using turbulent flow liquid
119 chromatography, but it require specific instrumentation and a complex experimental assembly [21].

120 Micellar liquid chromatography (MLC), using sodium dodecyl sulfate (SDS) as the
121 surfactant and a C18 column, has been proven as an interesting alternative to hydroorganic RP-
122 HPLC to resolve drug mixtures in plasma [35]. Micelles interacts to proteins and other hydrophobic
123 compounds, provoking their denaturation, solubilization and the release of bounded drugs.
124 Therefore, direct injection of plasma samples, after a dilution with a micellar solution and filtration
125 can be harmlessly directly injected, can be harmlessly performed, thus expediting the experimental
126 protocol. Moreover, solubilized matrix substances are barely retained [36]. The presence of the
127 micelle pseudophase and the modification of the stationary phase by the SDS monomer increase the
128 number of interactions inside the column for the analytes, which complexes the retention
129 mechanism. Besides, it only requires the addition of a small amount of short-chain alcohol (up to

130 15%) to improve the resolution [37]. This makes MLC a highly versatile technique and able to
131 resolve mixtures of drugs wide range of hydrophobicity using an isocratic mode [38].

132 The aim of the work is to develop a reliable analytical procedure based on MLC to reliably
133 determine erlotinib, imatinib, sunitinib, sorafenib and lapatinib in plasma. The method should be
134 inexpensive, simple, environmentally friendly and enough sensitive to detect the usual
135 concentrations of these drugs in plasma from cancer patients following a TKI-therapy. The method
136 was validated following the guidelines of the European Medicines Agency (EMA) [39]. The
137 developed method was applied to the study of plasma samples from cancer patients supplied by
138 collaborating Hospitals.

139

140 **2. Experimental**

141

142 *2.1 Standard and reagents*

143

144 The standards of erlotinib hydrochloride (purity > 99.0%), imatinib methanesulfonate (>
145 99.0%), sunitinib free base (> 99.0%), sorafenib free base (> 99.0%) and lapatinib free base (>
146 99.0%) were supplied from LC laboratories (Woburn, MA, USA). SDS (> 99.0%), methanol,
147 butanol and pentanol (HPLC grade) were purchased from Scharlab (Barcelona, Spain). Sodium
148 dihydrogen phosphate monohydrate (> 98.0%) and HCl 37% come from Panreac (Barcelona,
149 Spain). NaOH (> 99.0%) was supplied by Riedel-deHaën (Hanover, Germany). Ultrapure water
150 was *in-lab* generated from deionized water using an ultrapure water generator device from
151 Millipore S.A.S. (Molsheim, France). This ultrapure water was used to prepare all mobile phases.

152

153 *2.2 Apparatus and instrumentation*

154

155 A Mettler-Toledo analytical balance (Greifensee, Switzerland) was used to weigh the
156 analytes. The pH was measured with a Crison potentiometer (Barcelona) equipped with a combined
157 Ag/AgCl/glass electrode. An ultrasonic bath was used to dissolve the standards (model Ultrasons-
158 H, Selecta, Abrera, Spain). The mobile phases and the injected solutions were filtered through 0.45-
159 μm nylon membranes.

160 The analyses were carried out in a chromatographic system HP1100 equipped with an
161 isocratic pump, a degasser, an autosampler and a UV-visible diode array detector (DAD) supplied
162 by Agilent Technologies (Palo Alto, CA, USA). Both instrumental control and the signal
163 acquisition were performed using a personal computer connected by the chromatograph by means
164 of the Agilent ChemStation (Rev. A.10.01) software. The obtained chromatograms were treated
165 using the Michrom Software [40], to determine the chromatographic parameters: retention time (t_R),
166 efficiency (N), asymmetry (B/A) and peak area (A). The capacity factor was calculated from t_R and
167 the dead time (t_0). The meaning of these parameters can be found in [41].

168

169 *2.3 Solutions and mobile phases*

170

171 Stock solutions of each TKI (100 $\mu\text{g/mL}$ of free drug) were prepared by weighing the
172 appropriate amount of standard and dissolving it in methanol. Working solutions were made by
173 successive dilution of these stock solutions with a micellar solution of 0.05 M SDS at pH 3.5.
174 Combined solutions of the five TKIs were prepared in the same micellar solution.

175 Micellar solutions were prepared by weighing the appropriate amount of SDS and 0.01 M
176 sodium dihydrogen phosphate monohydrate, and then solving them in ultrapure water. The pH was
177 adjusted to the desired value by adding drops of HCl or NaOH solutions at 0.1 or 1.0 M. The
178 adequate volume of alcohol was added, and the solution was filled up with ultrapure water,
179 ultrasonicated and filtered.

180

181 2.4 Chromatographic conditions

182

183 The stationary phase was coated into a Kromasil C18 column (150 x 4.6 mm; 5 μ m particle
184 size; 10 nm pore size). The mobile phase was a micellar solution of 0.13 M SDS - 4 %1-butanol
185 buffered at pH 3.5, running at isocratic mode. The following UV-Visible absorbance program
186 detection was run: 0.0 - 6.0 min, 345 nm; 6.0 - 9.2, 265 nm; 9.2 - 11.5, 370 nm; 11.5 - 20, 260 nm.
187 The injection volume and the flow rate were 20 μ L and 1 mL/min, respectively. The aliquot vials
188 and the column remain at room temperature throughout the analysis.

189

190 2.5 Chromatographic care

191

192 A special care with the chromatographic system when dealing with micellar mobile phases
193 is required, to obtain a maximal performance and avoid column deterioration. Following these
194 instructions, the column has a lifespan of nearly 1000 injections.

195 A surfactant-free column must be previously saturated with the SDS monomers by pumping
196 a micellar solution for a minimum of 2 h at 1 mL/min. When a mobile phase is changed, the new
197 mobile phase must be pumped for 30 min at 1 mL/min to rinse the column and equilibrate the
198 stationary phase.

199 A micellar solution should never stay motionless in the chromatographic system, to avoid the
200 precipitation of the salts (surfactant and buffer), which would seriously damage the components of
201 the chromatograph, especially needle, tubes and the column. If the operator has to work during
202 several days with the same mobile phase, it is possible to maintain the SDS solution overnight by
203 reducing the flow rate to a minimal value. Another possibility is to recycle the mobile phase.
204 Besides, both cases contribute to maintain a good equilibrium of the adsorbed SDS-monomers on
205 the stationary phase.

206 A cleaning procedure has to be implemented before switching off the pump. The SDS
207 mobile phase must be replaced by 100 % pure water, which must be pumped at 1 mL/min during 1
208 h to eliminate the phosphate buffer. Later, the column should be rinsed with 100% methanol at 1
209 mL/min at least for 30 min to throw out the water and remove the adsorbed surfactant and strongly
210 retained compounds from the stationary phase. Thereafter, the chromatograph power is ready to be
211 turned off.

212 The removal process of the SDS is never complete, and the stationary phase remains
213 modified. Therefore, it is not recommended to use this column in MLC with other surfactants or for
214 hydroorganic-RP-HPLC.

215

216 *2.6 Plasma extraction and sample preparation*

217

218 Blood samples of cancer patients and healthy volunteers were provided by hospitals (after
219 consent from the patients). The samples were collected with DB SST tubes (SBD Vacutainer
220 Systems, Plymouth, UK), centrifuged at 4°C at 3000 rpm for 10 min ($3000 \times g$) to obtain the non-
221 cellular fraction. The samples were filtered to remove the proteins, in order to quantify the free
222 fraction of TKIs. Obtained plasma was frozen and kept at 20°C in amber vials. Plasma samples
223 were thawed at room temperature before the analysis.

224 Plasma samples were 1/5-diluted with a micellar solution of 0.05 M SDS buffered at pH 3.5,
225 vigorously shaken, filtered and injected [42]. Samples used for the validation and QC samples were
226 prepared as the same way. For spiked samples, the appropriate amount of the adequate working
227 solution was added before the dilution. The diluted samples were not stored.

228

229 **3. Results and discussion**

230

231 *3.1 Optimization of chromatographic conditions*

232

233 The analysis for the optimization of the chromatographic conditions were performed using a
234 working solution containing 0.75 µg/mL of each TKI.

235

236 3.1.1 Selection of the pH

237

238 The studied drugs show acid/base activity, and then their structure and retention behavior
239 will depend on the pH of the mobile phase. The working range of the column is 1.5 - 9.5. However,
240 weakly alkaline solutions provoke a slow and continuous hydrolysis of the C18-alkyl bond,
241 resulting in a medium-term loss of performance of the column and a reduction of its lifespan.
242 Therefore, the study was restricted to acid and neutral pH. The first pKa values of the TKIs range
243 from 2.03 to 8.07 (Table 1), whereas the second pKa values were over 12. The pH was set at 3.5, far
244 from the first pKa of all the TKIs, to assure that the drugs would be quantitatively in one form.

245 The studied drugs are quite hydrophobic (log Po/w from 2.7 to 5.4, see Table 1) and then a
246 pure aqueous or propanol-hybrid mobile phase would be unable to elute them at an adequate
247 retention time using a C18 column. In order to obtain micellar mobile phases with increased elution
248 strength, the use of 1-butanol and 1-pentanol as organic modifier was envisaged.

249 A preliminary study with hybrid mobile phases containing SDS (0.05 - 0.15 M), and 1-
250 butanol or 1-pentanol (2-6 %) was performed. Five mobile phases were selected following a full
251 factorial design plus the center point: four by combining the minimal and maximal values of
252 concentration of SDS and alcohol, and one with the intermediate values. Therefore, the studied
253 mobile phases were aqueous solution buffered at pH 3.5 containing SDS/1-butanol or 1-pentanol
254 (M/% v/v): 0.05/2; 0.05/6; 0.10/4; 0.15/2 and 0.15/6.

255 The main chromatographic parameters (t_0 ; t_R , N and B/A) were taken for the five studied
256 TKIs in all the mobile phases. The chromatograph dead time (1.04 min) was calculated as the
257 average of the dead time determined for each analysis. The five drugs show a bending behavior

with the SDS micelles, that means their retention time increase at lower concentrations of SDS. As expected, mobile phases with 1-pentanol provide less retention times than 1-butanol, and higher values of alcohol increases the elution strength of the mobile phase, as usual in MLC. Therefore, 1-butanol was selected as organic modifier, in order to assure that the less retained compound would be eluted enough far from the protein band.

3.1.3 Optimization of SDS/1-butanol concentration

Use of an empirical strategy of testing mobile phases at several values of SDS/1-butanol to find the optimal mobile phase was judged too large, considering that five analytes should be resolved. Therefore, an interpretative strategy based on a chemometric approach was applied, in order to expedite the optimization process [37]. A mathematical model, able to predict the chromatographic parameters of medium-hydrophobic analytes from the composition of the mobile phase, was used. The Equation 1 is taken to model the retention factor:

$$k = \frac{K'_{AS}/(1 + K_{AD}\varphi)}{1 + [M](K_{AM}(1 + K_{MD}\varphi)/(1 + K_{AD}\varphi))} \quad (1)$$

where [M] and φ represent the concentration of SDS (M) and 1-butanol (%). The constants are partition coefficients and are described in further detail in [37]. K_{AD} and K_{AM} depend on the analyte and the surfactant, whereas K_{MD} and K_{AD} are different for each analyte, surfactant and alcohol. The simulation of the peak shape (efficiency and asymmetry) was carried out using the equation 2, which calculate the signal $h(t)$ at each time of the chromatographic run because of the elution of the analyte:

$$h(t) = H \exp \left[-\frac{1}{2} \left(\frac{t - t_R}{s_0 + s_1(t - t_R)} \right)^2 \right] \quad (2)$$

280

281 Value $h(t)$ indicates the signal at each time (t) of the chromatographic run because of the elution of
 282 the analyte, which is considered as an asymmetrical normal function. H depends on the
 283 concentration and sensitivity, and t_R is the retention time. The constant s_0 is the central dispersion
 284 of the Gaussian curve, whereas s_1 quantifies the skewness. These parameters remain ideally
 285 invariant for each drug and mobile phase.

286 The experimental values of retention factor, N and B/A of the five TKIs measured from the
 287 analysis performed using the mobile phases described in section 3.1.2 were processed by the
 288 Michrom software [40] to fit the equations 1 and 2. Thus, the theoretical values of the retention time
 289 and peak shape of behaviour of erlotinib, imatinib, sunitinib, sorafenib and lapatinib can be
 290 estimated at any combination of SDS and 1-butanol inside the range 0.05 - 0.15 M, and 2 - 6%,
 291 respectively, by interpolation. Combining the information obtained for the five drugs at the same
 292 mobile phase, the theoretical values of resolution between each pair (r_{ij}), and the global resolution
 293 (Z) were calculated using the valley-peak and unnormalized product of all the r_{ij} criteria,
 294 respectively. Besides, Michrom software is able to draw simulated chromatograms, and then the
 295 analyst can easily visualize the variation of the chromatogram shape, and check the overlapping,
 296 when concentration of SDS or 1-butanol changes.

297 According to the chemometric model, the mobile phase providing the maximum resolution
 298 at the minimum analysis time was: 0.13 M SDS - 4 % 1-butanol - at pH 3.5 ($R = 0.9990$). The
 299 standard solution was analyzed under these conditions, and the studied TKIs were eluted without
 300 overlapping with adequate peak shape in < 20 min. Experimental chromatographic parameters were
 301 (t_R , N , B/A): erlotinib (4.7; 3422; 1.08), imatinib (8.1; 3687; 1.11), sunitinib (10.2; 2460; 1.15),
 302 sorafenib (12.6; 2965; 0.98) and lapatinib (17.3; 2474; 1.10). The error of the prediction of the
 303 retention times was < 5 %.

3.1.4 Detection conditions

A mixture of the drug was analyzed using the selected mobile phase and absorbance spectra were measured between 200 nm and 800 nm. The maximal absorption wavelength were: erlotinib, 345 nm; imatinib, 265 nm; sunitinib, 370 nm; sorafenib, 260 nm and lapatinib, 260 nm. Therefore, measured wavelength was modified through the chromatographic run to detect each TKI at its corresponding maximal value.

3.1.4 Advantages of the procedure

The developed procedure shows several advantages over hydroorganic-RP-HPLC, because of using micellar solutions.

Main feature of the procedure is the simplification of the sample preparation, exploiting the possibility of direct injection allowed by MLC, eliminating need for clean-up steps. Sample is quantitatively transferred to chromatographic system, without any step with variable recovery, and then addition of an internal standard is not required. Besides, the optimized chromatographic conditions allow the elution of five TKIs with a difference of three orders of hydrophobicity (2.7 - 5.4) in only < 20 min using an isocratic run. Therefore, the column does not require a stabilization time between two injections, as in gradient. All these characteristics strongly reduce the global analysis time and facilitate the successive analysis of a large number of samples.

Experimental procedure and the mobile phase use biodegradable salts. The mobile phase contains only 4 % of toxic and flammable organic solvent, less than that normally used in hydroorganic mobile phases (up to 100 %). Therefore, risk for the health of the laboratory staff due to the handling of toxic chemicals and waste disposal are minimized. This fits the current trend in analytical chemistry [43].

Using this analytical procedure, analyses can be performed at lower cost, because only basic chromatographic and laboratory instrumentation is used, and low amount of inexpensive reagents is required. Moreover, a large number of samples can be analyzed each day.

3.2 Method validation

The method was fully validated following the Guideline on bioanalytical method validation issued by the European Medicines Agency (EMA). Evaluated validation parameters were: selectivity, calibration curve, lower limit of quantification, precision, accuracy, carry-over, matrix effect, stability [39], limit of detection, and robustness [44]. Unless specified, validation was performed using drug-free plasma samples from healthy volunteers spiked with the studied TKIs.

3.2.1 Selectivity

Six blank plasma samples obtained from healthy volunteers (three males and three females) which do not take any drug, were analyzed by the developed procedure. In all cases, a broad band elutes from the dead time to nearly 3.0 min (Figure 2A). This band corresponds to the proteins and other endogenous compounds. These compounds strongly interact with the micelles of the mobile phase, and then are barely retained in the column. No other peaks were detected beyond 3.5 min. This result is similar to that obtained in other works also devoted to the analysis of plasma by MLC [35]. As the studied drugs show retention times > 3.5 min, there are no potential interfering compounds, and then the procedure is enough selective to unambiguously detect the analyte.

The same samples were spiked with 0.75 µg/mL of each analyte and analyzed. The TKIs were eluted without interference at the same retention time as indicated in section 3.1.3 (Figure 2B).

3.2.2 Calibration range and sensitivity

356

357 Seven plasma spiked samples containing increasing concentrations of the five studied TKIs,
358 were analyzed by triplicate. Parameters of the calibration curve (slope and y-intercept) and degree
359 of linearity (determination coefficient) were obtained using least-square linear regression (non-
360 weighted). Five calibration curves were constructed in three different days (using different spiked
361 samples) over a 1-month period, to consider influence of the between-run variability.

362 Upper limit of quantification was set at 5 µg/mL, whereas lower limit of quantification
363 (LLOQ) was the lowest concentration which can be measured with enough accuracy and sensitivity
364 (see section 3.2.3), 0.05 µg/mL. Limit of detection was calculated following the 3.3s criterion.
365 Standard deviation of the blank was that of the y-intercept [44].

366 The results are shown in Table 2. The values for the determination coefficients ($r^2 > 0.990$)
367 points to a good linearity in the considered range. Besides, the lower limit of quantification were
368 below the lower limit of the therapeutic range. However For, the therapeutic range of sunitinib is
369 too near its corresponding LLOQ, and then even slight subtherapeutic levels would provide
370 unquantifiable peaks.

371

372 3.2.3 Accuracy and precision

373

374 These parameters were within-run determined at four concentrations (0.05, 0.15, 1.5 and 4
375 µg/mL) by six replicates, in the same day. Accuracy was the quotient of the average of the found
376 concentrations minus the true value, divided by the true value, whereas the precision was taken as
377 the relative standard deviation of the measured peak areas.

378 To calculate between-run values, the same experiments were performed three days over a 1-
379 month period, by renewing the analyzed spiked samples. Accuracy was determined as difference of
380 the average of average values of concentrations found each day and the true value, divided by the
381 true value. Precision was the RSD of the average values of peak area taken each day.

382 Results can be seen in Table 3. Values of accuracy (-10.4 to +11.0%) and precision (RSD
383 <9.2%) were inside maximum values accepted by the guide for both parameters (<20% for LLOQ
384 and <15% for higher values). Therefore, the method provides reliable quantitative values for the
385 studied TKIs with a low uncertainty.

386

387 3.2.4 Carry over effect

388

389 The carry over was evaluated by analyzing a plasma sample spiked at 5 µg/mL with the five
390 analytes, and a blank plasma sample immediately after. No peak was observed in the second
391 chromatogram near the retention time of the analytes. Thus, the carry-over was considered
392 negligible at concentrations within the calibration range.

393

394 3.2.5 Matrix effects

395

396 Possible interference of the matrix compounds was evaluated by analysis of plasma samples
397 spiked at same concentrations as for accuracy/precision, and standard working solutions (in micellar
398 media) containing the same concentrations divided by five (to consider the dilution factor in the
399 experimental procedure). All the analyses were performed by triplicate.

400 The peak area proved to be very similar, regardless the starting environment. Therefore, the
401 matrix effect was not significant.

402

403 3.2.6 Robustness

404

405 In a realistic situation, the experimental parameters randomly oscillate in a short range,
406 which introduce a new source of variance in the instrumental response. To verify the extent of this
407 fact, the change in the retention time and peak area was studied when the chromatographic

parameters are at the minimum and maximum of their variation range, that can occur during the normal handling of the instrumentation: SDS concentration, 0.12-0.14 M; 1-butanol, 3.9-4.1%; pH, 3.4-3.6; flow-rate, 0.95-1.05 and wavelength absorbance ± 5 nm.

Influence of each parameter was separately studied by analyzing ($n = 3$) the standard solution using three mobile phases at: the optimal value, and the lower and upper values of the tested range, remaining the others constant. RSD of the three measurements was calculated for retention time and peak area. The results are shown in Table 4.

The method was considered quite robust, as low variations were observed for retention time ($< 7.8\%$) and peak area ($< 8.4\%$), within the considered range.

3.2.7 Stability

Stability of the analytes in the plasma samples in two cases (freeze-and-thaw and processed) was studied at three concentrations (0.15, 1.5 and 4.0 $\mu\text{g/mL}$). Each measurement was performed by triplicate.

The freeze-and-thaw stability was determined during a two-weeks period, which is the usual storage time of the plasma samples in a Hospital. The plasma sample was spiked, analyzed, and stored in a fridge at -20°C . After 12 h, the same plasma samples were thawed and reanalyzed. This cycle was repeated each day for two weeks. The peak areas remain nearly invariant the first ten days, and a diminishing of 10 % was observed between the 11th and 15th days.

Stability of the processed sample was studied at the experimental laboratory conditions. The plasma samples were analyzed after spiking. The remaining processed sample was stored at room temperature, and analyzed after 3; 6; 9 and 12 h. No significant decrease of the signal was observed for this period.

3.3 Analysis of real samples

434

435 The developed procedure was applied to the analysis of the free plasmatic fraction of TKIs
436 in samples extracted from cancer patients, which are following a therapy based on one of the
437 studied TKIs. Samples with concentrations over the ULOQ were diluted and reanalyzed. The results
438 can be seen in Table 5. In all cases, the studied TKI can be quantified without interferences, and the
439 QCs provide adequate values. Fig. 3 shows the chromatogram obtained from the analysis of a
440 sample from the patient 3.

441 In the patient 20, sunitinib was detected, but the resulting concentration was under the
442 LLOQ, and then show unacceptable uncertainty. For these reason, we only know that the value is
443 between the LOD and the LLOQ.

444

445 **4. Conclusions**

446

447 Micellar liquid chromatography has been demonstrated as a useful technique for the analysis
448 of erlotinib, imatinib, sunitinib, sorafenib and lapatinib in plasma. Main advantage of this procedure
449 is the possibility of direct injection. Therefore, plasma samples were analyzed following an easy-to-
450 handle, one-step, rapid and reproducible assay, instead of by long and tedious extractions. Hence,
451 the main part of the experimental protocol is simplified, minimizing the probability of operator
452 error and the use of reagents. The analytes were eluted without interference from the matrix in < 20
453 min using an isocratic mobile phase, resulting in a low global analysis time. These characteristics
454 allow the analysis of a large number of samples per day. The method was validated in terms of
455 selectivity, carry-over effect, linearity, sensitivity, matrix effect, precision, accuracy, robustness and
456 stability with adequate results, which assesses reliability of quantitative data. The procedure is eco
457 friendly and safe for the laboratory staff, according to the low amount of toxic chemicals handled
458 and wasted. Moreover, it is relatively inexpensive, and then accessible to clinical laboratories with

459 limited economic power. Therefore, it can be useful by clinicians to monitor these drugs in plasma
460 of cancer patients.

461

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463

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465

466 **6. Conflict of interest disclosure**

467

468 The authors declare that they have no financial/commercial conflicts of interest.

469

470 **7. References**

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640 **Table 1.** Main characteristics of the studied TKIs

Compound	Trade name	Producer	pKa [9]	Log Po/w [9]	Therapeutic range
Imatinib	Gleevec/ Glivec	Novartis (Basilea, Switzerland)	8.27/12.45	3.0	0.56 - 1.39 µg/mL [10]
Lapatinib	Tykerb/ Tyverb	GlaxoSmithKline (London, UK)	7.2/15.99	5.4	not found
Erlotinib	Tarceva	Genentech, (Northbrook, IL, USA)	4.59/16.14	2.7	0.85-1.68 µg/mL [11]
Sorafenib	Nexavar	Bayer, (Leverkusen, Germany)	2.03/11.55	3.8	5.4–10.0 µg/mL [12]
Sunitinib	Sutent,	Pfizer, (New York, NY, USA)	9.04/11.46	5.2	0.050-0.100 µg/mL [13]

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645 **Table 2.** Calibration parameters for the studied TKIs (concentrations in µg/mL).

TKI	Slope	y-intercept	r^2	LOD	LLOQ
Erlotinib	35.6 ± 0.84	-0.15 ± 0.41	0.998	0.035	0.05
Imatinib	50.8 ± 1.0	-0.13 ± 0.33	0.997	0.019	0.05
Sunitinib	29.9 ± 0.5	- 0.25 ± 0.27	0.990	0.027	0.05
Sorafenib	71.7 ± 2.2	- 0.02 ± 0.54	0.996	0.023	0.05
Lapatinib	63.2 ± 1.8	0.05 ± 0.32	0.998	0.015	0.05

646 n = 5

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650 **Table 3.** Intra- and inter-day accuracy and precision of the method.

TKI	Concentration (µg/mL)	Within-run ^a		Between-run ^b	
		Accuracy (%)	Precision (RSD, %)	Accuracy (%)	Precision (RSD, %)
Elotinib	0.05	-8.5	6.3	- 9.0	8.1
	0.15	+5.2	4.7	+ 7.5	3.0
	1.5	+2.1	2.2	+ 3.1	1.3
	4	-0.5	2.3	+5.0	2.9
Imatinib	0.05	+7.5	6.9	+ 11.0	7.8
	0.15	-4.2	4.1	- 7.4	4.0
	1.5	+2.1	4.4	+5.2	3.9
	4	+3.4	2.9	+4.0	5.4
Sunitinib	0.05	-4.8	8.5	-8.7	5.4
	0.15	+4.5	2.0	+2.0	2.0
	1.5	-1.5	4.5	-3.0	3.8
	4	+3.1	2.1	+1.5	4.1
Sorafenib	0.05	-10.4	6.3	-6.1	8.3
	0.15	+7.5	2.1	+4.5	5.4
	1.5	+4.1	5.1	+3.1	3.5
	4	+5.2	1.5	+2.0	4.7
Lapatinib	0.05	+ 7.4	9.2	+4.7	7.2
	0.15	- 4.2	3.0	-3.5	1.5
	1.5	+3.8	2.1	+2.0	4.6
	4	+ 2.0	4.4	+1.7	3.8

651 ^an=6; ^bn = 5

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655 **Table 4.** Evaluation of the robustness of the MLC-method.

TKI	Chromatographic parameter	Retention time (R.S.D.; %)	Peak area (R.S.D; %)
Erlotinib	SDS concentration	4.4	3.2
	1-butanol	5.3	2.6
	pH	4.1	1.8
	Flow rate	6.5	3.5
	Wavelength	1.0	7.5
Imatinib	SDS Concentration	6.7	4.1
	1-butanol	4.0	2.8
	pH	5.1	3.5
	Flow rate pH	4.5	2.1
	Wavelength	1.6	5.3
Sunitinib	SDS Concentration	4.7	3.0
	1-butanol	5.1	1.9
	pH	4.3	3.1
	Flow rate pH	5.4	2.6
	Wavelength	2.0	6.5
Sorafenib	SDS Concentration	3.8	2.6
	1-butanol	4.7	1.3
	pH	3.1	2.6
	Flow rate (mL/min)	7.8	1.8
	Wavelength	1.8	8.4
Lapatinib	SDS Concentration	7.6	1.8
	1-butanol	3.9	1.6
	pH	4.9	2.5
	Flow rate	4.5	3.5
	Wavelength	1.9	7.2

656 n = 3

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662 **Table 5.** Concentrations (µg/ mL) of the studied TKIs in plasma samples from cancer patients.

Taken TKI	Patient	Concentration
Imatinib	1	1.74
	2	2.04
	3	0.53
	4	3.24
Erlotinib	5	1.61
	6	0.84
	7	2.4
	8	1.21
Lapatinib	9	1.01
	10	0.41
	11	0.78
	12	1.90
Sorafenib	13	6.25
	14	4.35
	15	2.85
	16	3.86
Sunitinib	17	0.055
	18	0.061
	19	0.089
	20	Between LOD and LLOQ

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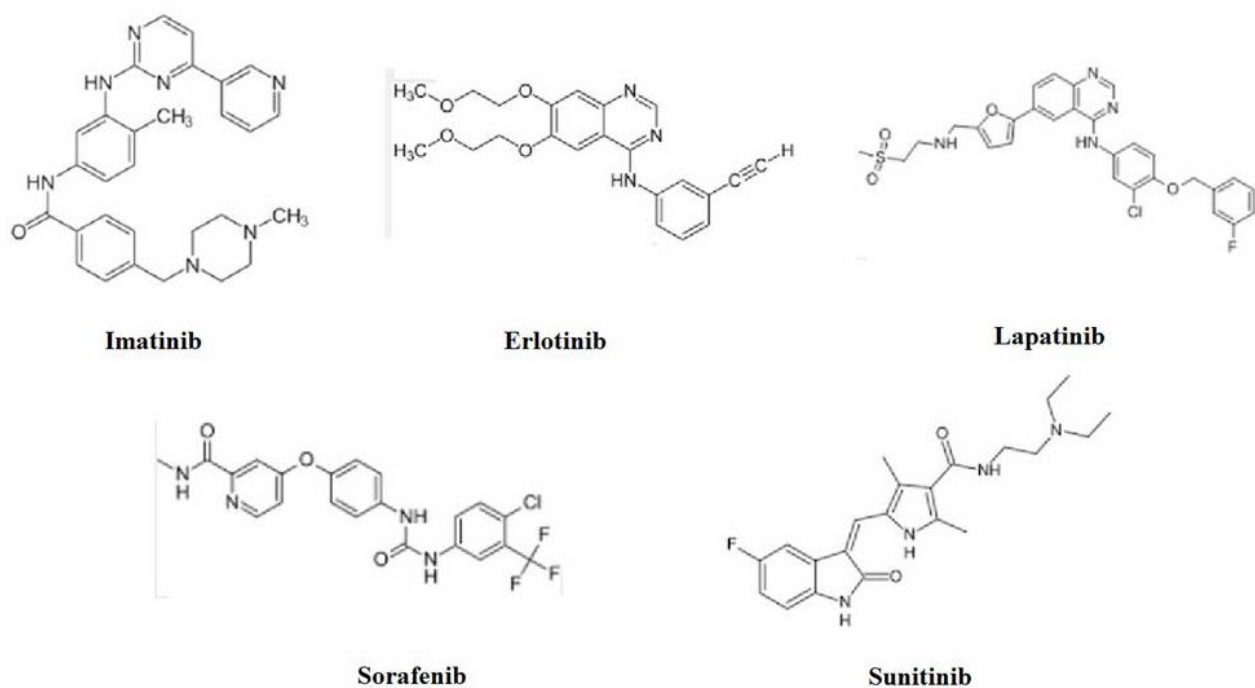
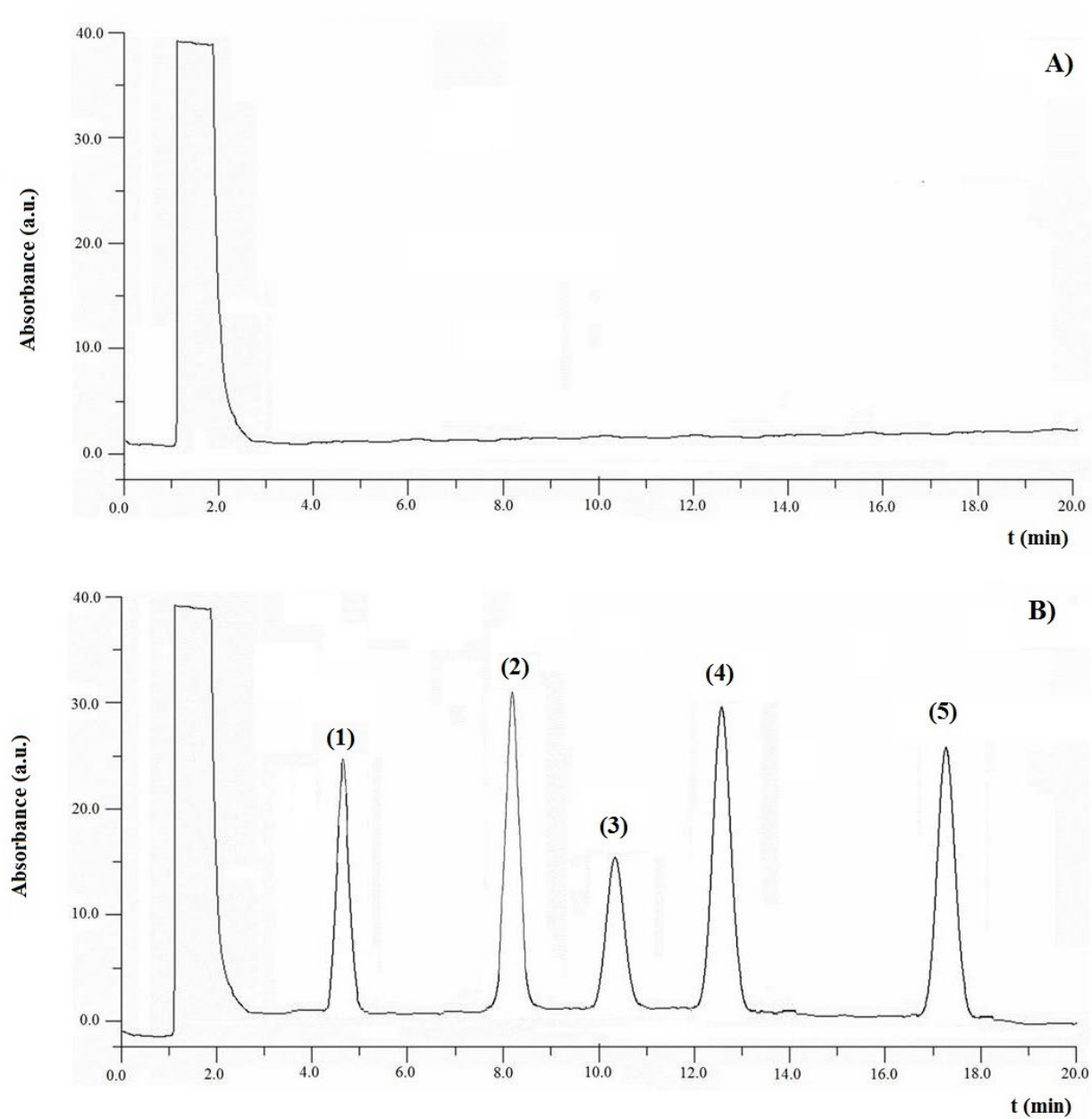
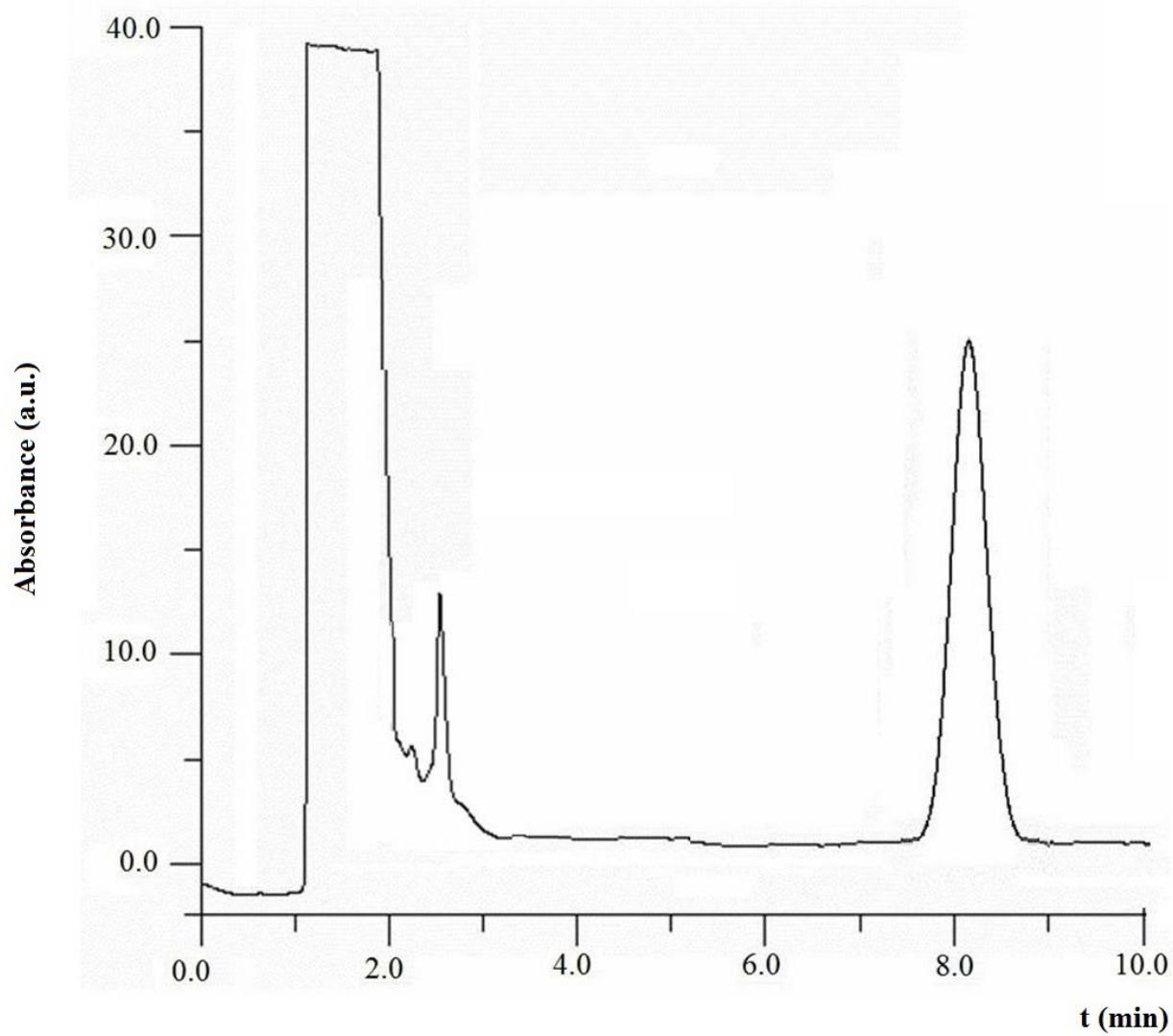


Figure 1. Structure of the studied tyrosine kinase inhibitors.



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682 **Figure 2.** Chromatogram obtained by the analysis of plasma samples spiked with 0.75 $\mu\text{g/mL}$ of 1)
683 erlotinib, 2) imatinib, 3) sunitinib, 4) sorafenib and 5) lapatinib.



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691 **Figure 3.** Chromatogram obtained from the analysis of sample 3, containing 0.53 $\mu\text{g/mL}$ of
692 imatinib.