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## Association between maxillary sinus pathology and odontogenic lesions in patients evaluated by cone beam computed tomography. A systematic review and meta-analysis

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### Abstract

**Background:** A study is made of the association between maxillary sinus pathology and odontogenic lesions in patients evaluated with cone beam computed tomography.

**Material and Methods:** A literature search was made in five databases and OpenGrey. Methodological assessment was carried out using the Newcastle-Ottawa tool for observational studies. The random-effects model was used for the meta-analysis.

**Results:** Twenty-one studies were included in the qualitative review and 6 in the meta-analysis. Most presented moderate or low risk of bias. The periodontal disease showed to be associated with the thickening of the sinus membrane (TSM). Mucous retention cysts and opacities were reported in few studies. The presence of periapical lesions (PALs) was significantly associated to TSM (OR=2.43 (95%CI:1.71-3.46); I<sup>2</sup>=34.5%) and to odontogenic maxillary sinusitis (OMS)(OR=1.77 (95%CI: 1.20-2.61); I<sup>2</sup>=35.5%).

**Conclusions:** The presence of PALs increases the probability of TSM and OMS up to 2.4-fold and 1.7-fold respectively. The risk differences suggests that about 58 and 37 of out every 100 maxillary sinuses having antral teeth with PALs are associated with an increased risk TSM and OMS respectively. The meta-evidence obtained in this study was of moderate certainty, and although the magnitude of the observed associations may vary, their direction in favor sinus disorders appearance, would not change as a result.

**Key words:** Sinus pathology, Odontogenic Sinusitis, Sinus membrane thickening, CBCT, Periapical lesions, Periodontal disease.

## Introduction

Maxillary sinus pathology may be of rhinogenic, odontogenic, traumatic, allergic, neoplastic and bone-related origin (1). Alterations of the sinus mucosa secondary to dental disorders are a result of the close anatomical relationship between some teeth and the sinus floor (2). In this regard, the upper molars and some premolars lie close to the floor of the maxillary sinus (3). Specifically, the closest lying tooth is the upper second molar, followed by the first molar (4). In addition, these teeth suffer a higher prevalence of periapical lesions (PALs) compared with other teeth, specifically on endodontic treated teeth (5), as well as greater susceptibility to periodontal disease due to furcation involvement (6).

Under normal conditions the abovementioned teeth are separated from the maxillary antrum by a dense cortical bone layer of variable thickness – though in some cases these structures are separated only by the mucoperiosteum (7). Such close proximity between the teeth and the maxillary sinus is associated to anatomical changes of the sinus membrane and to sinus radiologic opacities such as odontogenic maxillary sinusitis (OMS) and other disorders such as mucous retention cysts (MRCs) or retention cysts (RCs) (8). Thickening of the sinus membrane (TSM) is reportedly the most frequent alteration of the maxillary sinus, followed by MRCs and opacities (9). Moreover, MRCs or RCs or antral pseudocysts (different pathological conditions but radiographically indistinguishable) presented a controversial etiology because they may or may not be associated with dental origin and periodontal infections (10).

Some authors consider the maxillary sinus to be normal in the absence of TSM, or when a uniform thickening of  $< 2$  mm is observed (11). However, there is no agreement as to the threshold beyond which the thickness of the sinus membrane should be regarded as pathological. Cone beam computed tomography (CBCT) has been recommended for preoperative evaluation of the available bone in the posterior maxilla and to assess the health or pathology of maxillary sinus in different dental medicine disciplines, and it provides three-dimensional images of maxillofacial structures, with negligible radiation doses compared to medical CT (12).

Although the causes underlying sinus diseases and their association to dental lesions remain subject to controversy, ear, nose and throat specialists consider that a dental origin should be considered in the presence of chronic sinusitis, though such explorations are rarely described in routine clinical practice (13).

In keeping with these observations, the primary aim of this systematic review was to evaluate the association between odontogenic lesions and the appearance of TSM and OMS in patients evaluated using cone beam computed tomography (CBCT). As secondary outcomes the periodontal disease status, the root proximity

to maxillary sinus and the appearance of mucous retention cysts (MRCs) were considered in this regard.

## Material and Methods

### - Study protocol

The present review was carried out following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) criteria (<http://www.prisma-statement.org>).

### - Focused question

The review was made to answer the following focused question in Population, Exposure and Outcome (PEO) format (14): (P) Among dentulous or partially edentulous patients subjected to (E) CBCT evaluation, what relationship is there between odontogenic lesions and the appearance of (O) anatomical alterations of the sinus membrane, maxillary sinusitis and mucosal retention cysts?

Population features: The dentulous patients were considered as any patient that has teeth, and the partially edentulous patients as any patient that had loss at least one tooth in the posterior zone. Either dentulous or partial edentulous patients should present pathologies of odontogenic origin in the proximity of paranasal sinus cavities (e.g. periapical lesions of endodontic origin or apical periodontitis of endodontic origin, or unhealthy teeth, or periodontal disease, or tooth roots intruded or in tight relation with paranasal sinus).

### Odontogenic lesions:

Periapical lesions of endodontic origin (PALs): Are those considered within the endo-periodontal lesions (EPL) terminology, according the recent world workshop of periodontal and peri-implant diseases and conditions on 2017 (15). The term endo-periodontal lesions describes a pathologic communication between the pulpal and periodontal tissues at a given tooth that may be triggered by a carious or traumatic lesion that affects the pulp and, secondarily, affects the periodontium, by periodontal destruction that secondarily affects the root canal; or by concomitant presence of both pathologies “true-combined” (15).

Periodontal disease: A patient is considered to have chronic periodontitis, if it is presenting a periodontal probing depth greater than 5 (PPD  $\geq 5$  mm) and clinical attachment loss greater than 3 (CAL  $\geq 3$  mm) and angular bone loss  $\geq 3$  mm (16). The classification depends on additional measurements of the bleeding on probing values (BOP).

### - Eligibility criteria

Inclusion criteria: Those randomized controlled trials, prospective or retrospective observational cohort studies, case-control studies, case series and cross-sectional studies that a priori assessed the TSM or OMS appearance in relation to an odontogenic origin in patients underwent CBCT imaging.

Exclusion criteria: Systematic reviews, narrative reviews, nonclinical studies, *in vitro* studies, congress posters and abstracts, and case series involving fewer than 30 cases. Those studies failing to compile a priori information on TSM or OMS sinus pathologies were excluded. In the case of multiple publications based on the same patient sample, only the most recent data were considered.

- Electronic search

Two reviewers (SPO and DSP) conducted an extensive but sensitive search of the main databases and

grey literature in Medline via PubMed, EMBASE, the Cochrane Library, Web of Science (WOS), LILACS and OpenGrey (www.opengrey.eu). The search involved no language restrictions and extended up until September 2017. We used indexed terms, as well as free terms that were combined and adapted among the different databases. Lastly, the list of references of the included publications were evaluated in search of potential new articles (Table 1, Table 2). Discrepancies were resolved by discussion and consensus with a third consultant (LBD).

**Table 1:** Diagnostic criteria to assess the sinus pathologies among included studies.

Definition of Sinus Pathology Assessed (SPA-def)			
Author	SPA-def	Author	SPA-def
Acharya <i>et al.</i> 2014	Degrees of TSM: 1) Healthy, no thickening 2) Flat: shallow thickening 3) Semispheric well defined >30° 4) Mucocele-like: complete opacification 5) Mixed flat semispherical thickenings	Kasikcioglu 2016	OMS; Maxillary sinus pathology and at least one posterior maxillary tooth with PAL in the same region.
Al Pokorny <i>et al.</i> 2013	Databases from the authors' otolaryngology and endodontic practices were reviewed to identify patients who had been seen mutually	Lu <i>et al.</i> 2012	Degrees of TSM: 1) Normal 2) 0-2 mm 3) 2-4 mm (mild) 4) 4-10mm (moderate) 5) More than 10 mm (severe)
Block & Dastoury 2014	Degrees of TSM: 1) TSM < 2 mm 2) TSM 2-5 mm 3) TSM >5 mm (ostium level) 4) TSM (over ostium level)	Nascimento <i>et al.</i> 2016	Sinusal findings 1) Generalized TSM 2) Localized TSM (involving up to 2 adjacent teeth) 3) Fluid and air bubbles compatible with sinusitis 4) Dome-shaped radiopacity suggestive of MRC.
Bornstein <i>et al.</i> 2012	Degrees of TSM: 1) Healthy, no thickening 2) Flat: shallow thickening 3) Semispheric well defined >30° 4) Mucocele-like: complete opacification 5) Mixed flat semispherical thickenings	Nunes <i>et al.</i> 2016	Sinus disorders diagnose criteria: 0) Normal (radiolucent, intact cortical, mucosal thickness <3 mm) 1) TSM (area without cortical bone and with soft tissue density, thickness >3 mm, parallel to sinus bone wall) 2) Sinusal polyps 3) Antral pseudocyst 4) Nonspecific opacification 5) Periostitis (thick and homogeneous opaque area, laminated, adjacent to cortical bone of MS floor, above radiolucent area associated with tooth apex) 6) Antral calcification(Antrolith)
Brüllmann <i>et al.</i> 2012	Degrees of TSM: Not visible Visible 0 to 3mm Thickened > 3 mm (Pathology suspected)	Oliveira de Lima <i>et al.</i> 2017	CMS: Obstruction, nasal congestion or discharge, and pain or pressure in the face. The duration of these symptoms had to be longer than 12 weeks to be characterized as chronic maxillary sinusitis.
Connor <i>et al.</i> 2000	CT appearances of focal TSM, any maxillary sinus disease (including complete opacification, air fluid levels, diffuse TSM, focal TSM) and evidence of a rhinogenic aetiology (osteomeatal complex pathology).	Phothikhun <i>et al.</i> 2012	TSM: ≥ 1 mm Assessment of MRC 1) Homogeneous dome-shaped opacity within the maxillary sinus with sharp demarcation of lateral borders. 2) Absence of bony erosion 3) Absence of communication with a tooth root 4) A smooth, spherical outline at the free border of the cyst.

**Table 1 cont.:** Diagnostic criteria to assess the sinus pathologies among included studies.

Dagas-san-Berndt <i>et al.</i> 2013	Assessment of TSM: Measurement from the sinus floor to the top of the SM at the second premolar, first molar and second molar sites.	Rege <i>et al.</i> 2012	Sinus disorders diagnose criteria: 1) Increased or decreased dimension of the sinus 2) Radiographic density changes in the cortical bone of the sinus 3) Partial or complete opacification of the sinus cavity 4) TSM >3 mm
Goller-Bulut <i>et al.</i> 2015	Degrees of TSM: 1) Normal 2) 0-2 mm; 3) 2-4 mm; 4) 4-10 mm; 5) More than 10 mm	Ren <i>et al.</i> 2015	Degrees of TSM: 1) Abscent 2) <2 mm (normal) 3) 2-4 mm (mild) 4) 4-10 mm (moderate) 5) >10 mm (severe)
Janner <i>et al.</i> 2011	Degrees of TSM: 0) No thickening 1) Flat: shallow thickening 2) Semispheric well defined >30° 3) Mucocele-like: complete opacification 4) Mixed flat semispherical thickenings 5) Other types of TSM or pathologic findings	Shanbhag <i>et al.</i> 2013	Assessment of TSM: Normal ≤ 2 mm      Thickened >2 mm TSM types: Flat (horizontal thickening) Polypoid (dome-shaped) Categorized as: * 2.1-5 mm * 5.1-10 mm * >10 mm ** Signs of acute sinusitis (air-fluid levels and complete opacification)
Schneider <i>et al.</i> 2013	Degrees of TSM: 1) Healthy, no thickening 2) Flat: shallow thickening 3) Semispheric well defined >30° 4) Mucocele-like: complete opacification 5) Mixed flat semispherical thickenings	Zirk <i>et al.</i> 2017	Patients who had a clear temporal and causal connection between dental treatment and appearance of sinusitis or presented simultaneously symptoms for a dental disease and maxillary sinusitis.
Shahbazian <i>et al.</i> 2015	Assessment of TSM: 1) Healthy, no thickening or <3 mm 2) Tooth-associated (limited to tooth area) 3) Soft tissue thickening of rhinogenic origin (not focal character). 4) Mixed TSM: dental y rhinogenic origin		

OMS: Odontogenic Maxillary Sinusitis; MS: Maxillary sinusitis; MRC: Mucous Retention Cysts; TSM: Thickening of Sinus Membrane

**Table 2:** Characteristics of included studies.

Author	Study features		Sinus pathology assessed (Dependent variable)		TSMPT	Exposure	Independent variable (exposure)	OR (IC 95%)	CBCT features FOV/ Voxel
	De-sign Location	N (M/F) Age ± SD (range)	SPA	SPA Def.	SMT / OMS prevalence related to odontogenic pathology exposure				
Acharya <i>et al.</i> 2014	CS India & China	457 (221/236) India: 114/111 China: 107/125 53.2±11.5	TSM -	Y	> 2	PD	TSM in PD India: 51,8% → India: 1,32a China: 36,0% → China: 1,75a		-

**Table 2 cont.:** Characteristics of included studies.

Al Pokorny <i>et al.</i> 2013	CS U.S.	67 (11/20) 48 (15 a 81)	OMS MRC	ND/NQ	ND	PAL, PD, FRCT	OMS: 33% OMS in PAL: 55% → OMS in PD: 9% OMS in FRCT: 12%	1,94 (0,8-4,5)a	-
Block & Dastoury 2014	CS U.S.	831(-/-) 52.2 (9 a101)	TSM -	Y	> 2	HT UHT	TSM: 30,1% TSM in HT: 44,73% TSM in UHT: 49,68%	-	10 x 13 cm / 0,4 mm
Borns-tein <i>et al.</i> 2012	CC Swit-zer-land	Cases 50 (26/24) 54.0±12.9 Controls: 50 (26/24) 47.2±13.1	TSM -	Y	> 2	PAL	TSM: (71/100) 71% TSM in PAL: (41/50)82 % →	3.04(1,21-7,60)a Patient level	4x4 cm, 6x6 cm /0,08 mm
Brül-Imann <i>et al.</i> 2012	CS Ger-many	204 (83/121) 47.5 (7 a 82)	TSM -	Y	> 3	PD, DCV, DCNV, ET	TSM: M vs F → TSM in PD: 85% → TSM in DCV: 71% → TSM in DCNV: 67% → TSM in ET: 51% →	2,3 (1,0-5,3) 31,8 (3,4-289,3) 7,6 (1,7-34,4) 6,4 (4,7-57,8) 7,8 (2,7-22,3)	-
Connor <i>et al.</i> 2000	CC Aus-tria	192 (92/100) 43.2 (16 a 72)	TSM TSM-NR	Y	ND	ARD, NARD	TSM in ARD: (24 of 192) 13% TSM-NR in NARD: (6 of 138) 4%	-	-
Dagas-san-Berndt <i>et al.</i> 2013	CC Swit-zer-land	Dentate: 17(11/6) 56.5 ± 8.5  Edentulous: 21(8/13) 67.9 ±7.7	TSM -	ND/NQ	ND	PD, FL, RSdis-tance PAL, PEL, FRCT	TSM in dentate patients TSM in PAL: $p = 0,008$ TSM in RSdistance: $p=0,036$ TSM (mean) 1M: $3,65 \pm 2,54 \text{ mm } p=0,028$ 2M: $3,25 \pm 2,25 \text{ mm } p<0,001$	-	4x4 cm, 6x6 cm, 8x8 cm/ 0,125 mm
Go-ller-Bu-lut <i>et al.</i> 2015	CS Turkey	205 (101/104) 38.8 (16 a 77)	TSM -	Y	>1	PAL, PBL, PEL,	TSM in PAL: (100/159) 63% → TSM in PBL: 2,25mm(mean) ( $r = 0,52, p<0,000$ ) TSM in PEL: (398/1169) 34% ( $r = 0,17, p<0,000$ )	1,13 (0,59-2,17)a Patient level	-
Janner <i>et al.</i> 2011	CS Swit-zer-land	143 (67/76) 57.5 ± 11.67	TSM -	Y	> 2	ET, PAL, PBL	TSM: 54,8% TSM in PAL: 14.09 % LPA: $p=0,033$ (univariate test) Sex: $p=0,004$ (univariate test) Sex: F vs M $p=-0,33 p=0,015$ (Multivariate)	-	4 x4 cm, 6 x6 cm 8 x8 cm /0.08mm
Kasik-cioglu 2016	CS Turkey	461(267/194) 41.8 ± 14.11 (20 a 77)	TSM -	Y	ND	PAL	EMS: 63,8% EMS en LPA: 31,2% → SMO en LPA →	2,12 (1,56-2,89)a 2,03 (1,31-3,13)	18 x 14 cm/ 0.0936 mm
Lu <i>et al.</i> 2012	CS China	372 (178/194) 35.8 ± 15.5 (11 a 72)	TSM -	Y	> 2	PAL, RSrela-tion	TSM > 2mm in PAL: (56/66) 84,84% →  TSM in RSrelation Gap o space:(331/508) 65,15% Root touch sinus (38/83) 45,8% Root insidesinus (44/94) 46,8%  TSM/RSrelation > 2mm: (153/331) 46,2%	8,22 (4,04-16,73)a Patient level	Not spe-cified / 0,125 mm

**Table 2 cont.:** Characteristics of included studies.

Nascimento <i>et al.</i> 2016	CS Brazil	400 (182/218)  47.09 ±14.3 (13 a 82)	TSM OMS MRC	Y	≥ 1	PAL, PBL, PEL, RSrela- tion	GeneralizedTSM:(429)65.2% Localized TSM: (163)24,8% OMS: (42) 6,4% MRC: (24) 3,6% TSM > 2mm: 86,9% mean TSM: 8,2 ± 5,89 mm Generalized TSM sex re- lated → 1,45 (1,08–1,95)Ad. Generalized TSM-mild PBL→ 2,68 (1,79–4,00)Ad. GeneralizedTSM-severePBL→ 1,93 (1,22–3,04)Ad. LocalizedTSM inPAL → 3,09 (2,14–4,45)Ad. 2,84 (1,98–4,06)a Localized TSM/RSrelation → 2,77 (1,42–5,41)Ad. (root/lesion sinus floor contact) MRC 10-35 yrs → 3,47 (1,24–9,73)Ad. Sinus level		Not spe- cified / 0,25 mm
Nunes <i>et al.</i> 2016	CS Brazil	200 (75/125)  41.2	TSM -	Y	>3	PAL, PAL-SF_ dist	TSM inPAL:(92/143)64,3%→ TSM related to PAL- SF_dist 0 mm = (87)45% >0 to <2 mm = (17) 9% ≥2 mm = (32) 17%	1,97 (1,26 - 3,10)a	16X6 cm / 0,25 mm
Oliveira de Lima <i>et al.</i> 2017	CS Brazil	83 (26/57)  42±15 (18 a 69)	OMS -	Y	ND	PBL, EI, PD, RSrela- tion	OMS: 52,2% OMS in EI (PAL): 50,6%→ OMS in PD: 28,9% → OMS related to RSrelation in PD patients: Type I (root in sinus): 25% Type II (0 mm): 45,8% in patients with FRCT: Type I (root in sinus): 12,2% Type II (0 mm): 39%	1,14 (0,61–2,12)a 3,46 (1,44–8,28)a	7x23 cm/ 0,25 mm
Phothi- khun <i>et al.</i> 2012	CS Thai- land	250 (110/140)  46.1±14.3 (13 to 74)	TSM MRC	Y	≥ 1	PBL, PAL, ET	MRC: 16.4% (14.4±6.4mm) TSM: (105/250)42% patients Mean thickening(5.0± 3.9mm) TSM in PAL: 35,9% → TSM in PBL PHP: * moderate: 25,4% → * mild: 47,5% →	1.40(0,70–2,77)  1,02 (1,07–1,36) 3,02 (1,74 - 5,24)	15x15 cm /0,29 mm
Rege <i>et al.</i> 2012	CS Brazil	1113 (435/678)  49 ± 15 (12 a 85)	TSM MRC OPA	Y	>3	PAL, PAL- SF_dist	Sinusal abnormalities TSM: (838/1268) 66% TSM related PAL-SF_dist: class I (near-SF) 26(19,3%) class II(contact-SF)48(35,6%) class III(overlap-SF)61(45,2%)  QRM: (130) 10,1% QRMrelatedPAL-SF_dist:20 class I (near-SF) 3 (15,0%) class II(contact-SF)6(30,0%) class III(overlap-SF)11(55,0%)  OPAC: (100)7,8% OPACrelatedPAL-SF_dist:8 class II(contact-SF)7(87,5%) class III(overlap-SF)1(12,5%)	-	6x8cm 6x13cm / 0,25 mm

**Table 2 cont.:** Characteristics of included studies.

Ren <i>et al.</i> 2015	CS China	221(113/108)  30.1 (17 a 71)	TSM -	Y	≥ 2	PD, PBL, VIP, FL	TSM in PD: (103/221)48,9% Media: 3,65±0,81 mm TSM in PBL moderate: 29,5% → 1,02 (1,07-1,36) severe: 87,9% → 4,62 (3,37-6,33) TSM in VIP: → 13,58 (6,26-29,49) EMS in FL: → 2,76 (1,73-4,41) TSM related to sex (M vs F) → 1,74 (1,05-3,00) TSM related to age (26-40 yr) → 2,96 (1,29-6,78)		20x25cm/ 0,25mm
Schneider <i>et al.</i> 2013	CS Switzerland	138 (65/66)  54.39 (19 a 89)	TSM MRC	Y	>2	ETS_ GAP	TSM mean: 2,1-2,69 mm Flat, shallow: (63/138)45,65%  ETS_GAP types 1-Mesial and distal tooth vital 2-Distal tooth ET, mesial vital 3-Mesial tooth vital, distal vital 4-Both teeth ET  TSM in ETS_GAP TSM in GAP sites: 1,55-2,99mm No significant differences at: Molars (p=0,853) Premolars (p=0,152) Gap region (p=0,201)  TSM related to sex (M vs F) Significant correlation at molars level (p=0,011)	-	4x4 cm, 6x6 cm, 8x8 cm /0.08 mm
Shahbazian <i>et al.</i> 2015	CS Belgium	145 (56/89)  52 (20 a 75)	TSM -	Y	> 3	PAL, PD, PAL_ SF-dist	TSM: 42% of sinuses Odontogenic TSM: (46/145)67% TSM in PAL: (40/46) 88% TSM in PAL: (6/46)12%	-	13x17 cm /0.25 mm
Shanbhag <i>et al.</i> 2013	CS India	243 (131/112)  50.23 ± 15.66 (15 a 90)	TSM OMS	Y	>2	PAL, PD	TSM (211/485) 44,6% sinuses TSM (147/243) 60,5% patients Flat TSM (2-5mm): 65,8% Polypoid TSM TSM in PAL: (103/211)49% → 9,75 (5,74-16,55) TSM in PD: (106/211)76% → 1,44 (0,88-2,34) OMS in PAL: (1/12) 0,8%		8x8 cm /0.25 mm
Zirk <i>et al.</i> 2017	CS Germany	121 (53/68)  56.2 ± 16 (17 a 92)	OMS	ND/ NQ	ND	TE, EP, Diente No-Sa- no	OMS related to: Caries, TEF, EP: 33,9% Cirugía Oral: 83,49% Cirugía Oral + ONM: 2,5% TE: 6,6% Cuerpo extraño: 22,3%	-	7,5X10 cm / 0,2 mm  6X6 cm /0,125 mm

Cross-sectional: CS; Case-control: CC; Patient number (male/female): N (M/F) ; Sinus Pathology Assessed: SPA; Thickening of Sinus Membrane: TSM; Odontogenic maxillary sinusitis: OMS; Mucous retention cysts: MCR; Thickening of Sinus Membrane No Rhinogenic aetiology: TSM-NR; Thickening of Sinus Membrane Pathologic Threshold: TSMPT; Periodontal disease: PD; Periapical lesions: PAL; Failed Root Canal Treatment: FRCT ; Endodontic treatment: ET ; Failed Root Canal Treatment: FRCT ; Endodontic infection: EI; Healthy teeth: HT; Unhealthy teeth: UHT; Decayed vital tooth: DV; Decayed non-vital tooth: DNV; Endodontically treatment: ET; Adjacent Restorative Dentistry: ARD ; Not Adjacent Restorative Dentistry: NARD ; Furcation lesions: FL; Root to sinus distance: RSdistance ; Root to sinus anatomic relation: RSrelation ; Periapical lesion to sinus floor distance: PAL-SF\_dist; Periodontal-endodontic lesions: PEL ; First Molar: 1M; Second Molar: 2M; Mucous retention cysts: MRC; Opacity/ies: OPAC; Vertical infrabony pockets: VIP; Endodontic treatment status gap type: ETS\_GAP; Odds ratio estimated by authors: a ; Adjusted odds ratio: Ad.

#### - Study screening

After the elimination of duplicates, article selection by title and abstract was carried out independently by two reviewers (SPO and DSP). Full-text evaluation of the relevant articles was made applying the previously described inclusion and exclusion criteria. Interrater agreement was assessed by means of Cohen's kappa coefficient ( $\kappa$ ). Discrepancies were resolved by discussion with an expert (DPO).

#### -Data extraction

Two reviewers (SPO and DSP) extracted a series of data to allow comparison and summarize the available evidence. The extraction process was performed in duplicate using an Excel® table (Microsoft Office 2017, Redmond, WA, USA). The following data were extracted from the included studies: number of participants and gender, mean patient age or age range, sinus disease evaluated (dependent variable), definition of the threshold beyond which the thickness of the sinus membrane is regarded as pathological, odontogenic disease or condition related to the sinus alteration (independent variable), study objectives, material and methods (definition of sinus pathology), results, prevalence of TSM and OMS (%) in relation to the odontogenic disease or condition (independent variable), CBCT characteristics, and conclusions. Sinus pathologies :

**TSM:** It is considered as mucositis of the sinus membrane, normal sinus mucosa is not visualized on radiographs; however, when the mucosa becomes inflamed it may increase in thickness which may be seen radiographically. Thus TSM>2mm are considered as pathological sinus membrane inflammation (17).

**OMS:** Are those chronic rhinosinusitis of dental origin. Thickening around the entire wall of sinus mucosa and accumulation of secretions that accompany sinusitis reduce the air content of the sinus and cause it to become increasingly radiopaque (near or complete), mucosal thickening in just the base of the sinus may not represent sinusitis (10). The mucosa thickening is limited to the area of a tooth presenting one or more of the following conditions: caries, defective restoration, periapical lesion, periodontal disease or an extraction site (11).

**MRCs:** The term retention pseudocyst is used to describe several related conditions. The actual pathogenesis of these lesions is controversial; however, because their clinical and radiographic features are similar, no attempt is made here to distinguish them. One etiology suggests that blockage of the secretory ducts of seromucous glands in the sinus mucosa may result in a pathologic submucosal accumulation of secretions, resulting in swelling of the tissue. A second theory suggests that the serous nonsecretory retention cyst arises as a result of cystic degeneration within an inflamed, thickened sinus lining. Both types of lesions are called pseudocysts because they are not lined with epithelium (10).

Retention pseudocysts usually appear as well defined, no corticated, smooth, dome-shaped radiopaque masses and no osseous border surrounds it.

#### - Evaluation of methodological quality (risk of bias)

The evaluation of methodological quality was carried out in duplicate and independently by two reviewers (SPO and DSP) using the Newcastle-Ottawa (NOS) tool for observational case-control studies ([http://www.ohri.ca/programs/clinical\\_epidemiology/oxford.asp](http://www.ohri.ca/programs/clinical_epidemiology/oxford.asp)), which evaluates three aspects: "Selection", "Comparability" and "Results". The risk of bias was scored from 1-9 as follows: high (1-3), moderate (4-6) or low (7-9). Only the comparability dimension could obtain two points. An adaptation was used to assess the cross-sectional studies, affording two additional points to the definition of the disease. The discrepancies during this phase were resolved by consulting an expert (JVB). The kappa coefficient was used to assess concordance between reviewers, stratifying the level according to the Landis and Koch scale (18).

#### - Meta-analysis and certainty of meta-evidence

We calculated the odds ratios (ORs) for estimating associations between the prevalence of PALs and TSM and OMS. The data were obtained from the prevalence frequencies and percentages where possible. The global effect was quantified by means of a random effects meta-analysis. We estimated the corresponding Z-statistic, p-value and 95% confidence interval (95%CI). The estimations referred to OR (and log) were displayed by means of forest plots. Heterogeneity was assessed applying the Cochran Q test. The indicator  $I^2$  represents the degree of inconsistency of the results, with  $I^2$  values of 25%, 50% and 75% respectively indicating low, moderate and high heterogeneity. The precision of each study was evaluated based on Galbraith plots as an alternative to funnel plots, due to the limited number of studies available. If there is a study with outlier size effect introducing high heterogeneity, a sensitivity analysis is performed to test the robustness of estimation excluding the concerned study and repeating the analysis. The certainty of evidence is assessed through the GRADE approach (as high, moderate, low or very low) by the integration of the risk of bias, inconsistency, indirectness, imprecision and other considerations through a summary of finding tables (SoF), using the GRADEpro software (<https://gdt.gradepro.org>).

## Results

#### - Electronic search and study screening

The search of the main databases yielded 717 publications. After eliminating duplicates and evaluating titles and abstracts, a total of 67 studies underwent full-text evaluation, with the inclusion of 20 publications. One additional study was obtained by consulting the reference lists of the included articles. A total of 21 studies

were therefore finally considered in the present systematic review. The PRISMA flow chart gives an overview of the article selection process (Fig. 1).

- Characteristics of the studies

The 21 selected articles comprised three case-control and 19 cross-sectional studies. Five were carried out in Brazil, four in Switzerland and the rest in other countries. Of the total studies, 16 evaluated TSM, two measured TSM and OMS (8,19), and four assessed only OMS (20–23). In addition to TSM or OMS, a number of studies evaluated other sinus disorders such as MRC (8,21,24,25) and opacities (9). Three studies offered no definition or quantification of sinus disease (4,21,23). Diagnostic criteria for sinus disorders reported among included studies are depicted in Table 1.

With regard to the threshold beyond which the thickness of the sinus membrane is regarded as pathological, three studies considered any thickening > 1 mm to be pathological (8,24,26), 8 studies established the threshold as > 2 mm (19,25,27–32), four as > 3 mm (9,33–35), and 7 studies offered no definition. In relation to the odontogenic disorders (independent variable) related to sinus disease (dependent variable), PALs were the most widely reported disorders (studied in 13 articles), followed by periodontal disease (described in 9 articles), endodontic treatment (described in 8 articles), and root proximity to the maxillary sinus and loss of periodontal bone (both reported in 5 studies). A total of 5984 patients were included in the present systematic review. A descriptive summary of the studies is provided in Table 2.

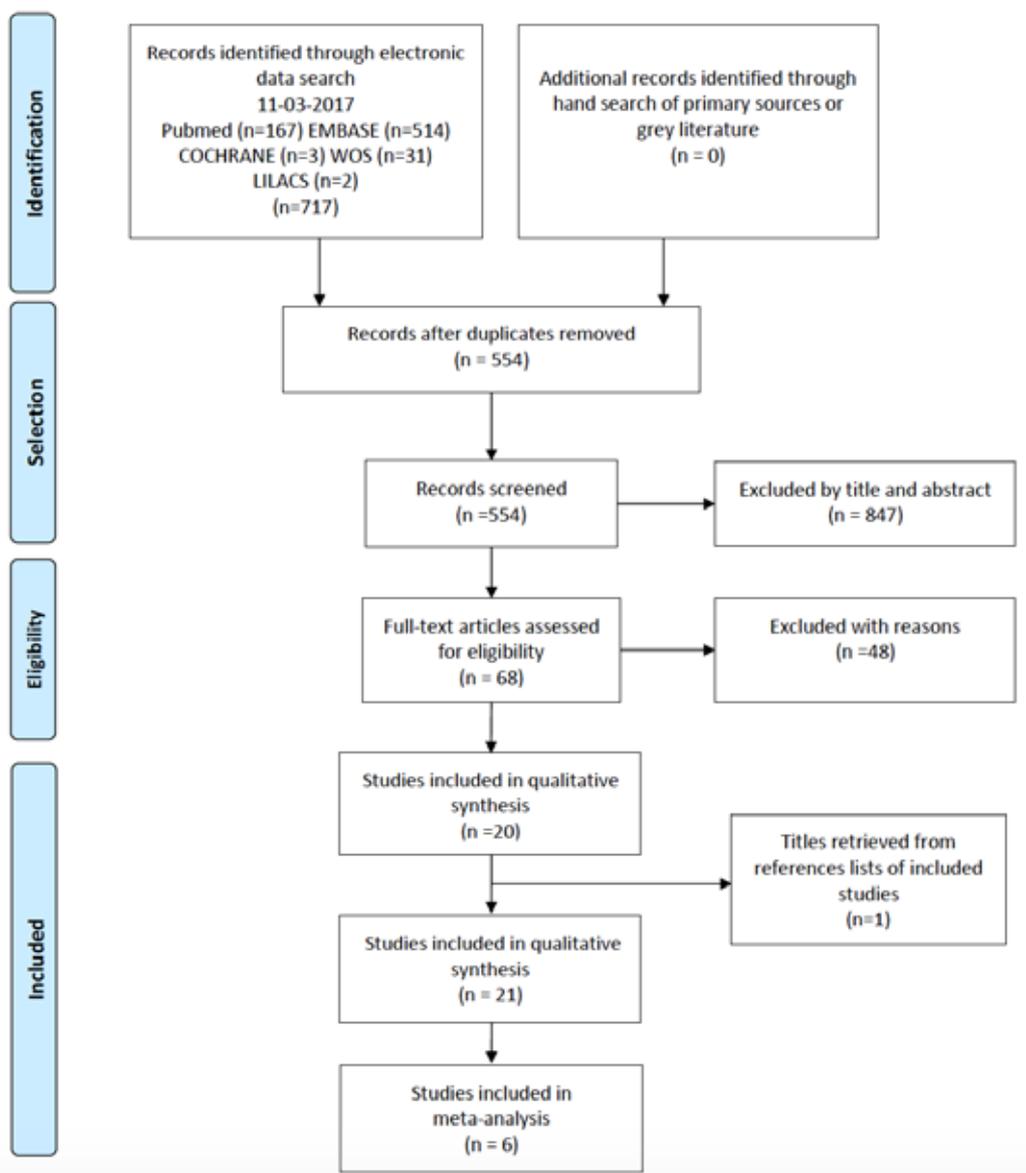


Fig. 1: PRISMA flowchart of selection process.

- Evaluation of methodological quality (risk of bias)  
 Inter-observer agreement during evaluation of the risk of bias was close to perfect according to the Landis and Koch scale (kappa k = 0.83). Moderate and low risks of bias were observed in the case-control studies, with scores of 4-7 out of the possible maximum of 9 (4,30,36). The least reported items were related to comparability, evaluated in a single study (4), and to the representativeness of the cases, due to demographic imbalances. Only one study failed to adequately report evaluator calibration during the tomographic evaluation process (36). Of the 19 cross-sectional studies, 7 showed moderate risk of bias, 9 low risk and three high risk. The score ranged from 3-8 (20,25,31) out of the possible maximum of 9. The least reported items were related to the selection of controls, implying the existence of selection bias in studies of this kind. All studies adequately defined sinus disease. The representativeness of the cases was inadequate in 8 studies. Regarding the comparability of the publications, 5 studies did not adjust the results to any relevant demographic or risk factor. The summary of risk of bias for either cross-sectional and case-control studies is depicted in Fig. 2.

in patients over 60 years of age in one study (27). Nascimento *et al.* (37) found the prevalence of localized TSM  $\geq 1$  mm to be 24%, versus 86.9% in the case of TSM  $> 2$  mm. Likewise, TSM  $> 2$  mm was associated to PALs, with ORs of 1.97 (34) and 9.75 (19), respectively – the latter being one of the estimates of greatest proportion in the available literature. Four studies reported no significant association, though they offered data on the prevalence of TSM (9,24,26,35).

Prevalence of odontogenic maxillary sinusitis and periapical lesions: Of the articles included in our review, 6 examined OMS in relation to dental disease (8,19–22,38), though only Kasikcioglu *et al.* found an association between maxillary sinusitis and PALs, with a significant OR of 2.03 (95%CI: 1.31-3.13). This relationship proved significant in relation to the posterior teeth, particularly the first and second molars (22). The rest of the articles that considered OMS offered no data regarding a possible association, though they did describe the prevalence of the disorder.

Thickening of the sinus membrane and periodontal lesions:

Of the 7 articles that examined the relationship between periodontal disease and TSM, five identified a positive association between them (19,24,26,28,32,33,37). The severity of periodontal disease as determined by moderate to severe periodontal bone loss was associated to TSM (24,28,32,37). One study observed a significant correlation between periodontal bone loss and a mean TSM of 2.25 mm (26). A single study, published by Dagassan-Berndt *et al.* (4), found no association between increased probing depth or the presence of furcal lesions and TSM. In two studies, the statistical significance of the association was lost on adjusting for variables such as patient gender and age (19), or in the multivariate analysis (31).

Root – maxillary sinus distance: The anatomical relationship between dental roots with odontogenic disease and the floor of the maxillary sinus was described in 6 of the included articles. Three studies reported a significant association between proximity of the diseased roots to the sinus and the prevalence of sinus disease (4,8,34). Oliveira de Lima *et al.* (20) found that the shorter the distance separating roots with endodontic infection from the maxillary sinus, the greater the risk of chronic maxillary sinusitis. In contrast, a 2.5-fold decrease in risk was observed as the mentioned distance increased ( $p < 0.05$ ). However, in two studies the spatial positioning of roots with periapical lesions was not seen to have an impact upon the prevalence of TSM (9,27).

Mucous retention cysts: Six studies reported the finding of MRCs in the tomography scans (9,21,24,25,37). Nascimento *et al.* calculated an OR of 3.47 for the presence of MRCs in the group of patients between 10 and 35 years of age versus those over 50 years of age. Rege

**A**

Newcastle-Ottawa Scale for Cross-sectional studies					
Author	Selection	Comparability	Results	Score	Risk of bias
Asharya et al. 2014	***	**	**	6 / 9	Moderate
Al Pokomy et al. 2013	***	0	*	2 / 9	High
Block & Desouzy 2014	****	0	*	4 / 9	Moderate
Büllmann et al. 2012	***	**	*	6 / 9	Moderate
Goller-Balut et al. 2015	****	**	*	7 / 9	Low
Janner et al. 2011	****	**	**	8 / 9	Low
Kasikcioglu et al. 2016	***	**	*	6 / 9	Moderate
Lu et al. 2012	****	**	*	6 / 9	Moderate
Nascimento et al. 2016	****	**	*	7 / 9	Low
Nunes et al. 2016	***	0	*	4 / 9	Moderate
Oliveira de Lima et al. 2017	****	**	*	6 / 9	Low
Phonthakun et al. 2012	****	**	*	7 / 9	Low
Rege et al. 2012	***	0	*	4 / 9	Moderate
Ren et al. 2015	****	**	**	7 / 9	Low
Schneider et al. 2013	****	**	**	8 / 9	Low
Shahbazian et al. 2015	***	0	*	4 / 9	Moderate
Shanbhag et al. 2013	****	**	*	7 / 9	Low
Zek et al. 2017	**	0	0	2 / 9	High

**B**

Newcastle-Ottawa Scale for case-control studies					
Author	Selection	Comparability	Results	Score	Risk of bias
Bornstein et al. 2012	****	0	**	6 / 9	Moderate
Connor et al. 2000	***	0	*	4 / 9	Moderate
Dagassan-Berndt et al. 2013	***	**	**	7 / 9	Low

**Fig. 2:** Summary of the risk of bias according study type. (A) Cross-sectional studies, (B) Case-control studies.

- Qualitative synthesis

Prevalence of thickening of the sinus membrane and periapical lesions: Eleven studies evaluated PALs, and of these 7 identified an association between this variable and TSM (4,19,27,30,31,34,37). PALs grade was positively correlated to the prevalence and severity of TSM in posterior maxillary teeth, being more frequent

*et al.* (9) found 10% of the patients with TSM to have MRCs, and of these, 26% were seen to be associated to teeth with PALs. Schneider *et al.* in turn observed MRCs in only 6 out of 49 maxillary sinuses (4.35%) (25). The remaining studies found no association between the presence of odontogenic disease and MRCs in the maxillary sinus.

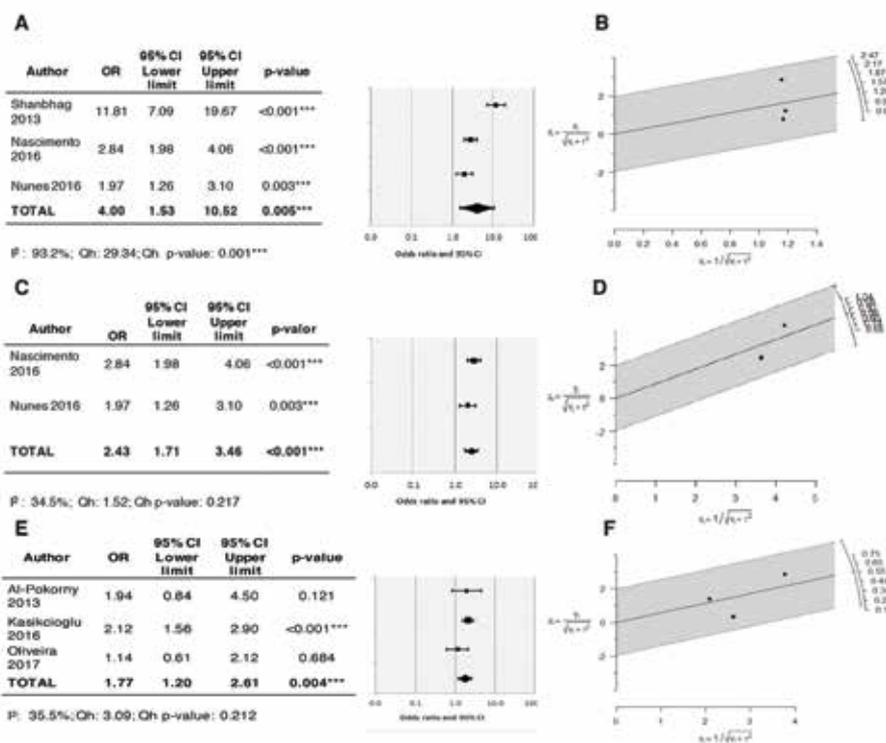
- Meta-analysis

The quantitative synthesis was made by means of a random effects meta-analysis to assess the effect of PALs upon TSM, considering the number of maxillary sinuses as the analytical unit, with a total of 1505 sinuses. Furthermore, this odontogenic lesion was associated to the prevalence of OMS, analyzing a sample of 1190 sinuses. Information used for both meta-analyses subsets is provided in Table 3.

Association between PAL and TSM: All the studies included in the analysis reported a significant OR of over 1 for TSM > 2 mm, thus indicating that the presence of PALs increases the risk of TSM in comparison with the group without PALs (No-PAL). The study published by Shanbhag *et al.* (19) revealed a very strong correlation (OR=11.8), with introduction of great heterogeneity in the model. The estimated global effect in this meta-analysis yielded an OR of 4 (95%CI: 1.53-10.52) and I<sup>2</sup>=93.2%, with an interval excluding unity – thereby showing the association to be statistically significant (p=0.005) (Fig. 3). The Galbraith plots showed a study (19) that contribute to a great extent to the heterogeneity of the global estimate; this is situated more distant regarding the central axis compared the other two meta-analyzed studies (Fig. 3).

**Table 3:** Data distribution employed for meta-analyses for the association between periapical lesion (PAL) presence and sinus pathologies (TSM>2mm and SMO).

Thickening of sinus membrane > 2mm						
Autor	n PAL	n No-PAL	TSM in PAL	TSM in No-PAL	No TSM in PAL	No TSM in No-PAL
Shanbhag <i>et al.</i> 2013	128	290	103	75	25	215
Nascimento <i>et al.</i> 2016	335	431	104	59	231	372
Nunes <i>et al.</i> 2016	143	178	92	85	51	93
Odontogenic maxillary sinusitis						
Autor	n PAL	n No-PAL	OMS in PAL	OMS in No-PAL	No OMS in PAL	No OMS in No-PAL
Al pokorny <i>et al.</i> 2013	57	52	21	12	36	40
Kasikcioglu <i>et al.</i> 2016	222	700	137	302	85	398
Oliveira <i>et al.</i> 2017	78	81	42	41	36	40



**Fig. 3:** Forest plots and Galbraith's plots to display heterogeneity for the association between PAL presence and the appearance of TSM > 2 mm and OMS. Global estimation PAL-TSM (A-B); Sensitivity analysis PAL-TSM (C-D); Global estimation PAL-OMS (E-F).

A sensitivity test was conducted for corroborating the consistency of the initial estimate, excluding Shanbhag *et al.* (19). Following the analysis, the global effect remained significant and less heterogeneity was observed, with an OR of 2.43 (95%CI: 1.71-2.46) ( $p < 0.001$ ) and  $I^2 = 34.5\%$  ( $Q = 1.52$ ;  $p = 0.217$ ). These results indicated that PALs could result in a 243% increase in the risk of TSM (Fig. 3). The Galbraith plots showed both studies to contribute similar heterogeneity to the global estimate (Fig. 3). No analysis of publication bias was made, since the number of studies entered in the meta-analysis was under 10 (39).

Association between PAL and OMS: The global effect estimated in this meta-analysis revealed a positive association between the presence of PALs and OMS, with an OR of 1.77 (95%CI: 1.20-2.61) and  $I^2 = 35.5\%$  ( $Q = 3.09$ ;  $p = 0.212$ ). The OR interval excluded unity – thereby showing the association to be statistically significant ( $p = 0.004$ ) (Fig. 3). The Galbraith plots show the distribution of the studies with respect to the central axis and the contribution to heterogeneity of the global effect (Fig. 3).

- Certainty of meta-evidence  
The body of the meta-evidence is of moderate certainty for the outcomes assessed, the evidence was downgraded by 1 level due to the risk of confounding bias. Only data from sensitivity analysis was considered for TSM. The SoF table, according to the GRADE approach is provided in Table 4.

### Discussion

The aim of this systematic review was to explore the possible association between pathology of the maxillary sinuses and odontogenic lesions in patients evaluated by CBCT.

Of the included publications, 16 evaluated TSM, two evaluated TSM and maxillary sinusitis, and four considered only maxillary sinusitis. Other sinus alterations such as MRCs (8,21,24,25) or opacities (9) were less frequently reported. Most of the studies described a positive association between the presence of periapical or periodontal lesions and alterations of the maxillary sinus (19,20,28,32,33). The prevalence of TSM in relation to PALs was variable, possibly because of the heterogeneity of the threshold defining pathological TSM (26,28,33) or the use of different tomographic resolutions (29,31).

Under normal conditions, the histologically measured thickness of the membrane ranged between 0.02-0.35 mm (38). However, when tomographic measurements were made, the mean thickness increased to 1.13 mm (39). This difference may be attributable to the imprecision of computed tomography in detecting measures  $< 0.5$  mm or to contraction of the membrane as a result of fixation in formalin solution for histological study (40). Some authors define pathological membrane thickness as  $> 1$  mm (8,24,26), while others establish the threshold from 2 or 3 mm (32,33,35).

**Table 4:** Summary of findings according to the GRADE approach.

Maxillary sinus disorders associated to periapical lesions						
Outcomes	Anticipated absolute effects* (95% CI)		Relative effect (95% CI)	№ of participants (studies)	Certainty of the evidence (GRADE)	Comments
	Risk with No-Periapical lesions	Risk with Periapical lesions				
Odontogenic maxillary sinusitis (OMS) assessed with: OR	25 per 100	<b>37 per 100</b> (28 to 46)	<b>OR 1.77</b> (1.20 to 2.61)	1190 (3 observational studies)	⊕⊕⊕ MODERATE	Based on the present meta-evidence and considering its limitations, there is moderate certainty, that the presence of PALs in antral teeth is associated with an increased risk for OMS appearance. The risk difference suggests that about 37 sinuses out of every 100 will have OMS detected by CBCT imaging.
Thickening of sinus membrane (TSM) assessed with: OR	36 per 100	<b>58 per 100</b> (49 to 66)	<b>OR 2.43</b> (1.71 to 3.46)	1084 (2 observational studies)	⊕⊕⊕ MODERATE	Based on the present meta-evidence and considering its limitations, there is moderate certainty, that the presence PALs in antral teeth is associated with an increased risk for TSM appearance. The risk difference suggests that about 58 sinuses out of every 100 will have TSM over 2mm, detected by CBCT imaging.

**Patient or population:** Patients with odontogenic lesions; **Setting:** Intervention: CBCT imaging; **Outcomes:** OMS and TSM

\***The risk in the intervention group** (and its 95% confidence interval) is based on the assumed risk in the comparison group and the **relative effect** of the intervention (and its 95% CI).

CI: Confidence interval; OR: Odds ratio

#### GRADE Working Group grades of evidence

**High certainty:** We are very confident that the true effect lies close to that of the estimate of the effect; **Moderate certainty:** We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different; **Low certainty:** Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect; **Very low certainty:** We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.

Some contradictory results were observed with regard to the association between periodontal disease and sinus membrane thickness. In effect, a positive correlation was reported in 5 articles (8,26,28,32,33), while other studies found no significant association (4,19,31). One study initially identified a significant association, though statistical significance was lost on adjusting for factors such as patient gender and age. Nevertheless, the sign of the association did not change, and increased TSM continued to be observed in the presence of periodontal disease (19).

Other aspects addressed by the literature were closeness of the roots to the maxillary sinus (4,34) and mucosal retention cysts (8,9,21,24). Although some studies (9,27) reported no relationship between root-sinus distance and sinus disease, Oliveira de Lima *et al.* found the risk of OMS to decrease 2.5-fold as the tooth with endodontic infection was located further from the sinus ( $p < 0.05$ ) (20). On the other hand, Rege *et al.* reported a greater prevalence of MRCs in the presence of periapical lesions, with a prevalence of 10.1% (9). Similar data were reported by Bhattacharyya *et al.*, with a prevalence of 12.4% (41). No cause-effect relationship has been demonstrated, however.

These associations can be explained in part by the fact that during extractions or in the presence of periodontal disease (e.g., periapical or endo-periodontal lesions, or loss of alveolar bone), teeth lying close to the maxillary sinus may damage the floor of the latter and even allow the spread of microorganisms of dental origin into the sinus (26).

Our meta-analysis revealed a significant association between PALs and TSM  $> 2$  mm, on the basis of 1550 maxillary sinuses exposed to PALs (8,19,34). This association moreover remained significant and scantily heterogeneous after the sensitivity test, which confirmed the consistency of the estimation, with an OR of 2.43 ( $p < 0.001$ ), and  $I^2 = 34.5\%$  ( $Q = 1.52$ ;  $p = 0.217$ ).

On the other hand, of the articles considered in our review, 6 examined maxillary sinusitis in relation to dental disease (19-23,37). It should be mentioned that the included studies did not confirm the diagnosis of maxillary sinusitis, since they only considered the radiological findings when the definition of maxillary sinusitis was fundamented on clinical and radiological criteria. Only the study of Oliveira de Lima *et al.* diagnosed sinusitis clinically, radiologically and by endoscopy performed by an ear, nose and throat specialist (20).

The meta-analytical estimate based on the CBCT study of 1190 maxillary sinuses exposed to PALs revealed a significant and positive correlation, in which the presence of such lesions was seen to imply a 1-7-fold greater risk of OMS than in the absence of sinus exposure to PALs. The evaluated studies had moderate methodological quality and did not show important demographic

imbalances, with the exception of one publication that reported a 2:1 male-to-female proportion that may have led to underestimation of the association (20). Despite this, the analysis showed low heterogeneity, with acceptable confidence intervals.

Strengths and limitations:

The novelty of the present systematic review is that it conveys a broad perspective of an ancient topic, provides comprehensive summary of the different diagnostic criteria available for the evaluation sinus pathologies of odontogenic origin through CBCT, which could be described as the most understandable and complete summary that has ever been posted. The present study offers a first meta-analytical estimate referred to sinus disease, in particular the association between TSM and OMS, and the presence of PALs.

This acknowledged information was taken into consideration and integrated through the GRADE approach to determine the certainty of meta-evidence in a transparent manner. The elaboration of this report summarizes the best available literature, which does not mean is the less biased. Some limitations, such as the nature of the cross-sectional and case-control studies, with the presence of bias inherent to their retrospective design. Another relevant issue is confounding bias, since in the retrospective studies the relationship between prior exposure (disease or associated disorders) was not always adjusted to potential confounding factors that could have an impact upon the magnitude of the estimate.

Recommendations and generalizability:

It is strongly advisable to adopt data collection protocols allowing prospective evaluation of odontogenic sinus alterations, with a view to assessing their response to treatment, since retrospective studies are intrinsically unable to detect causal relationships. The results provided by this review are for utmost importance for clinicians of different medicine areas, in particular for those treating patients with persistent sinus pathology, and those facing regenerative procedures and implant therapy related to the posterior maxillary region. It is because was observed that postoperative sinusitis after sinus lift procedures is more frequent in patients with previous chronic sinusitis, and could be a significant cause of postoperative infection and implant loss (42). A foremost concern, since teeth undergone root canal treatment are more prone to be extracted than non-root filled teeth (43-45), and consequently possibly replaced with dental implants.

## Conclusions

Periapical lesions are associated to TSM and OMS, as evaluated by CBCT. The severity of periodontal lesions are associated to TSM. Other characteristics such as closeness of the roots to the floor of the maxillary sinus, or the presence of MRCs and opacities, are scantily re-

ported and require further study. The presence of PALs is associated to an up to 2.4-fold greater risk of TSM compared with sinuses not exposed to PALs and could result in a 243% increase in the risk of TSM. There is a positive correlation between PALs and OMS, with a 1.7-fold greater risk of suffering sinusitis in the presence of PALs than in their absence. The risk differences suggest that about 58 and 37 of out every 100 maxillary sinuses having antral teeth with PALs are associated with an increased risk TSM and OMS respectively. Based on the appraised meta-evidence and considering its limitations, there is moderate certainty, that the presence of PALs in antral teeth are associated with an increased risk for TSM and OMS appearance as evaluated by CBCT, and although the magnitude of the observed associations (quantitative interaction) may vary, their direction in favor sinus disorders appearance, would not change as a result.

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#### **Conflict of interest**

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